



## Original Research

## Excess mortality associated with high ozone exposure: A national cohort study in China



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## ABSTRACT

Emerging epidemiological studies suggest that long-term ozone (O<sub>3</sub>) exposure may increase the risk of mortality, while pre-existing evidence is mixed and has been generated predominantly in North America and Europe. In this study, we investigated the impact of long-term O<sub>3</sub> exposure on all-cause mortality in a national cohort in China. A dynamic cohort of 20882 participants aged ≥40 years was recruited between 2011 and 2018 from four waves of the China Health and Retirement Longitudinal Study. A Cox proportional hazard regression model with time-varying exposures on an annual scale was used to estimate the mortality risk associated with warm-season (April–September) O<sub>3</sub> exposure. The annual average level of participant exposure to warm-season O<sub>3</sub> concentrations was 100 μg m<sup>-3</sup> (range: 61–142 μg m<sup>-3</sup>). An increase of 10 μg m<sup>-3</sup> in O<sub>3</sub> was associated with a hazard ratio (HR) of 1.18 (95% confidence interval [CI]: 1.13–1.23) for all-cause mortality. Compared with the first exposure quartile of O<sub>3</sub>, HRs of mortality associated with the second, third, and highest exposure quartiles were 1.09 (95% CI: 0.95–1.25), 1.02 (95% CI: 0.88–1.19), and 1.56 (95% CI: 1.34–1.82), respectively. A J-shaped concentration–response association was observed, revealing a non-significant increase in risk below a concentration of approximately 110 μg m<sup>-3</sup>. Low-temperature-exposure residents had a higher risk of mortality associated with long-term O<sub>3</sub> exposure. This study expands current epidemiological evidence from China and reveals that high-concentration O<sub>3</sub> exposure curtails the long-term survival of middle-aged and older adults.

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## 1. Introduction

In the context of global warming, surface ozone (O<sub>3</sub>) is an increasingly prominent threat to human health. Prior studies have associated short-term O<sub>3</sub> with a variety of multi-cause morbidity

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(e.g., cardiovascular diseases and asthma [1–3]) and mortality (e.g., all-cause, cardiovascular, and respiratory [4–7]) outcomes globally. A recent multi-country analysis [8] of 406 cities demonstrated a significant increase of 0.18% in all-cause mortality with a 10-μg m<sup>-3</sup> increase in 8-h daily maximum O<sub>3</sub>, and heterogeneity across countries suggested that O<sub>3</sub>-related mortality could be reduced under stricter air quality standards. These findings emphasized the significance and urgency of quantifying the effect of O<sub>3</sub> exposure on long-term survival.

Previous cohort investigations reported associations between long-term O<sub>3</sub> exposure and mortality in North America and Europe, while substantial heterogeneities existed across studies [9,10]. The

majority of studies suggested positive associations between long-term O<sub>3</sub> exposure and the increased risk of all-cause [11,12] and cause-specific [13–15] death. However, non-significant associations were also observed in prior large-scale prospective studies in the United States [16,17]. A recently published pooled analysis in seven large European cohorts, including 28 million participants, revealed a negative association between warm-season O<sub>3</sub> exposure and mortality [18]. Given the considerable scarcity of longitudinal evidence in low- and middle-income countries, geographical biases may be introduced when conducting meta-analyses that pool O<sub>3</sub>–mortality associations estimated from different populations [10]. To address these limitations in knowledge, generating high-quality cohort evidence from low- and middle-income countries such as China, strengthening O<sub>3</sub>–mortality studies, and developing pollution control policy and public health promotion are indispensable.

The Air Pollution Prevention and Control Action Plan was implemented in 2013 to mitigate severe air pollution in China. The concentrations of other major air pollutants have since decreased, whereas O<sub>3</sub> levels have remained high [19,20]. This can be attributed to the nonlinear response of O<sub>3</sub> to its precursors (i.e., nitrogen oxides and volatile organic compounds [21]). Most regions with high O<sub>3</sub> pollution follow the regulatory regime for volatile organic compounds; reducing vehicle nitrogen oxide emission shows limited effects on O<sub>3</sub> control in these regions [21]. High ambient O<sub>3</sub> pollution underscores the importance of assessing the health risk to the Chinese population. We designed a national cohort study that aimed to estimate the long-term impact of O<sub>3</sub> exposure on all-cause mortality and investigate the concentration–response (C–R) relationship among middle-aged and older Chinese populations across a wide range of exposure levels.

## 2. Materials and methods

### 2.1. Study population

The participants of this study were recruited from the China Health and Retirement Longitudinal Study (CHARLS), an ongoing national longitudinal cohort covering 28 provinces in China. Approximately 18000 individuals were involved in the 2011–2012 baseline survey and were generally followed every 2–3 years using a face-to-face computer-assisted interview [22]. Participants were newly recruited in each follow-up wave to avoid attrition due to death and loss to follow-up. We designed a longitudinal dynamic cohort study using 2011, 2013, 2015, and 2018 waves of CHARLS. Data on covariates (e.g., demographics, health status and function, and behavioral habits) for each participant were collected by well-trained professional interviewers using a series of standard questionnaire surveys. Death information for deceased individuals was obtained by interviewers through personal inquiries to family members during follow-up surveys [23].

We ultimately included 20882 eligible respondents with complete data in our study from four waves of the CHARLS. Participants were excluded if they were lost to follow-up at the first follow-up survey ( $n = 1801$ ), were younger than 40 years of age ( $n = 160$ ), or were missing information on critical covariates ( $n = 2111$ ; Fig. S1). The locations of 125 study cities and the number of participants in each province are exhibited in Figs. S2a and b. The average area of prefectural cities included in the CHARLS was 22600 km<sup>2</sup>, ranging from approximately 2000 km<sup>2</sup> (i.e., Shenzhen) to 253000 km<sup>2</sup> (i.e., Hulunbuir). More than 80% of surveyed cities had an area of between 5700 km<sup>2</sup> and 32500 km<sup>2</sup>. All participants or their legal representatives provided written informed consent. Ethics approval for the CHARLS was granted by the Ethical Review Committee of Peking University (No. IRB00001052-11015).

### 2.2. Exposure assessment

Annual warm-season O<sub>3</sub> concentrations at a resolution of 0.1° (approximately 10 km) were estimated by fusing data from observations and models widely used by the Global Burden of Disease Study 2019 (<https://ghdx.healthdata.org/gbd-2019>, accessed on 23 January 2023). Specifically, the warm-season average of 8-h daily maximum O<sub>3</sub> concentrations were estimated by the Bayesian maximum entropy method by combining the O<sub>3</sub> ground measurement and chemical transport model estimates [24]. *In situ* O<sub>3</sub> measurement data were retrieved from the Tropospheric Ozone Assessment Report and the China National Environmental Monitoring Center Network. Additional details for modeling O<sub>3</sub> estimates can be found in prior publication [25]. Given privacy concerns, participants' residential addresses were not publicly accessible; therefore, we assigned O<sub>3</sub> exposure at the city level by linking participants to 125 prefectural cities in our primary analysis. The annual warm-season O<sub>3</sub> concentrations of 125 prefectural cities from 2011 to 2018 were calculated by aggregating cell-level concentrations into city-level averages. To quantify the effect of misclassification caused by city-level exposure, we re-estimated the O<sub>3</sub> exposure at the residential level with 1000 random simulations using the Monte Carlo simulation approach [26]. We evaluated annual average exposures for each participant for each calendar year according to their survival time during the study period.

Annual particulate matter (PM<sub>2.5</sub>) concentrations (0.1° × 0.1°) simulated using the Data Integration Model for Air Quality were derived from the Global Burden of Disease Study 2019 and used to evaluate the annual PM<sub>2.5</sub> exposure of participants using the same conversion method as that used to estimate O<sub>3</sub> exposure [27]. Details of PM<sub>2.5</sub> concentration prediction models can be found in published study [28]. Annual nitrogen dioxide (NO<sub>2</sub>) exposure assessments were calculated using daily NO<sub>2</sub> concentrations (0.1° × 0.1°), which were estimated using the robust back-extrapolation with a random forest using the intermediate modeling of scaling factors based on ground-monitoring and satellite-based data [29]. Performing 1000 random simulations for three pollutants simultaneously is an extremely time-consuming process and requires relatively high computer capacity. Therefore, considering the heavy computing burden, we did not apply the Monte Carlo simulation approach for PM<sub>2.5</sub> and NO<sub>2</sub>.

### 2.3. Statistical analyses

Cox proportional hazard regression models with time-varying exposures on an annual scale were adopted to quantify the association between O<sub>3</sub> exposure and all-cause mortality. Person-years of follow-up were calculated from study enrolment to loss to follow-up, the end of the study, or date of death, whichever came first. One observation was created for each person for each year of mortality follow-up, and the corresponding annual average exposures were subsequently assigned to each observation [30]. All models were stratified by age (i.e., 40–49, 50–59, 60–69, 70–79, 80–89, and ≥90 years) and sex. Consistent with previous cohort studies [16,31], we considered a set of potential confounders, including (1) demographic characteristics (i.e., marital status, educational level, residence, and region); (2) behavioral factors (i.e., smoking status, alcohol consumption, and social activities); (3) health status (i.e., body mass index, diabetes, hypertension, and disability status); and (4) ambient temperature. The geographical area was divided into seven regions (i.e., North, Northeast, East, South, Southwest, Northwest, and Central China) based on geographic location. Body mass index was calculated as the participant's weight (in kilograms) divided by height (in meters

squared) and classified as underweight or normal ( $<24 \text{ kg m}^{-2}$ ), overweight ( $24\text{--}28 \text{ kg m}^{-2}$ ), or obese ( $\geq 28 \text{ kg m}^{-2}$ ). Education levels were defined as illiterate, primary school, middle school, and high school or above. Diabetes and hypertension were defined based on self-reported history. Social activity was defined by whether individuals participated in social activities such as interacting with friends; playing Mahjong, chess, or cards; going to the community club; or taking part in a community-related organization in the past month. Participants with physical disabilities were defined as those with brain damage, a speech impediment, or vision or hearing problems. Accumulating evidence revealed an approximately U-shaped relationship between temperature and mortality [32], suggesting a continuous metric could better capture the impact of temperature on mortality than metrics of extreme temperature. Thus, in our analysis, the annual average temperature was fitted as a smoothing term using a natural cubic spline with three knots. Annual average temperatures at the city level were aggregated from daily estimates of the European Center for Medium-Range Weather Forecasts atmospheric reanalysis dataset of the global climate at a resolution of  $0.1^\circ \times 0.1^\circ$ .

We adopted a sequential adjustment approach to defining the models with varying adjustment levels. Model 1 was stratified by age and sex without additional adjustments. Model 2 was adjusted for covariates of demographic characteristics. Model 3 was adjusted for covariates of demographic characteristics and behavioral factors. Model 4 (fully adjusted model) was adjusted for covariates of demographic characteristics, behavioral factors, health status, and environmental temperature.

Hazard ratios (HRs) of all-cause death and corresponding 95% confidence intervals (CIs) were estimated in relation to a  $10\text{-}\mu\text{g m}^{-3}$   $\text{O}_3$  increase. To facilitate the comparability of our results with prior studies, we converted the six-month warm-season mean of the daily maximum 8-h average (6mDMA8)  $\text{O}_3$  concentrations to several  $\text{O}_3$  metrics. To be specific, (1) the 6-month warm-season mean of 24-h daily average (6mDA24), (2) the annual mean of daily maximum 8-h average (ADMA8), (3) the annual mean of 24-h daily average (ADA24), (4) the annual mean of daily maximum 1-h average (ADMA1), and (5) the 6-month warm-season mean of daily maximum 1-h average (6mDMA1)—according to cross-metric conversion coefficients [10] and re-estimated the association between  $\text{O}_3$  exposure and mortality (Table S1). To investigate the C–R relationship between  $\text{O}_3$  and all-cause mortality,  $\text{O}_3$  exposure was fitted as a smoothing term using a natural cubic spline function [16,33] with three knots, following the Akaike Information Guidelines in the fully adjusted model. Participants were categorized by  $\text{O}_3$  concentration quartiles: quartile 1,  $\leq 89.7 \mu\text{g m}^{-3}$ ; quartile 2,  $>89.7$  and  $\leq 100.5 \mu\text{g m}^{-3}$ ; quartile 3,  $>100.5$  and  $\leq 110.5 \mu\text{g m}^{-3}$ ; and quartile 4,  $>110.5 \mu\text{g m}^{-3}$ . We further estimated the effect of changes in quartile  $\text{O}_3$  concentrations on all-cause mortality, using the first quartiles as a reference to assess HRs and corresponding 95% CIs.

Subgroup analyses were performed to examine potential modifications of effect and were stratified by sex, age ( $<65$  or  $65+$  years), educational level (illiterate or literate), smoking status (yes or no; former or current smoking was defined as yes), alcohol consumption (yes or no; former or current drinking was defined as yes), residence (urban or rural), and temperature (low:  $\leq 11.7^\circ\text{C}$ , medium:  $11.7\text{--}16.8^\circ\text{C}$ , and high:  $\geq 16.8^\circ\text{C}$ ). Specifically, participants with annual average temperatures lower than the 25th percentile and higher or equal to the 75th percentile were assigned to low- and high-temperature groups, respectively, so that subgroups had enough participants to ensure sufficient power to detect differences between temperature categories. Meta-regression methods were used to determine any differences in HRs between subgroups [34].

Sensitivity analyses were performed to assess the robustness of the results. First, given that city-level exposure could increase misclassification in our exposure assessment, we applied a Monte Carlo simulation approach to explore how this limitation affected the direction and magnitude of the effect of the estimated association. Specifically, we randomly simulated participants' residential addresses within each city and assigned gridded  $\text{O}_3$  exposure for each individual based on the simulated address. With sufficient simulations, association estimates close to real-world estimates could be included. Considering the computing burden, we only applied the Monte Carlo simulation approach (using 1000 simulations) to Model 4. Second, we re-estimated the association using Model 4 by incorporating random intercepts for surveyed cities to consider the clustering effect caused by city-level exposure. Third, we examined the associations by excluding individuals who died within the first year of the baseline survey ( $n = 151$ ) to avoid bias from participant selection [35]. Fourth, we adjusted annual province-level gross domestic product as the time-dependent covariate to eliminate the potential confounding effect of area-level socioeconomic status. Fifth, a directed acyclic graph was used to identify a minimal sufficient adjustment variable set (Fig. S3), which was alternatively adopted to select covariates for minimizing confounding bias in epidemiological studies. Sixth, we used single-year lag (lag 1–2)  $\text{O}_3$  exposure to observe the lag effects of long-term  $\text{O}_3$  exposure on mortality. In addition, to eliminate the confounding effects of co-pollutants, we tested bi- and tri-pollutant models with consistent time-varying exposures on a one-year time scale [15].

Data analyses were conducted using the R version 4.1.1 (R Foundation for Statistical Computing, Vienna, Austria), using a “survival” package for the Cox model and a “spline” package for the natural cubic spline. Two-sided tests with a  $P$ -value  $<0.05$  were considered to be statistically significant.

### 3. Results

There were 20882 eligible participants included in our study, with 1814 deaths occurring during the entire follow-up period (Table 1). The mean ( $\pm$  standard deviation) age of participants was  $57.7 (\pm 10.4)$  years, and 47.2% were male. Among respondents, 40.8% were recruited from urban areas, and more than half (56.0%) lived in southern cities. Participants who never smoked or drank alcohol accounted for 62.7% and 68.7% of respondents, respectively. Approximately a quarter of participants suffered from hypertension, and only 5.8% were diabetics. Annual warm-season  $\text{O}_3$  concentrations across China were relatively lower in 2018 than in 2011 (Figs. S2c and d), whereas  $\text{O}_3$  levels generally showed an increasing annual trend from 2013 to 2018 (Fig. S4). The average air pollution exposures between 2011 and 2018 were  $100.7 \mu\text{g m}^{-3}$  (range:  $60.7\text{--}142.4 \mu\text{g m}^{-3}$ ) for warm-season  $\text{O}_3$ ,  $52.0 \mu\text{g m}^{-3}$  (range:  $16.1\text{--}102.4 \mu\text{g m}^{-3}$ ) for  $\text{PM}_{2.5}$ , and  $25.3 \mu\text{g m}^{-3}$  (range:  $11.3\text{--}72.9 \mu\text{g m}^{-3}$ ) for  $\text{NO}_2$  (Table S2). Warm-season  $\text{O}_3$  was moderately correlated with  $\text{PM}_{2.5}$  ( $r = 0.57$ ) and  $\text{NO}_2$  ( $r = 0.62$ ).

As shown in Fig. 1, the C–R curve for  $\text{O}_3$  and all-cause mortality was fitted using a natural cubic spline. A nonlinear association between long-term  $\text{O}_3$  exposure and mortality risk ( $P$  for nonlinearity  $<0.001$ ) was observed at  $60.7\text{--}142.4 \mu\text{g m}^{-3}$ . Intuitively, we observed a J-shaped relationship, revealing a relatively flat curve as  $\text{O}_3$  levels fell below approximately  $110 \mu\text{g m}^{-3}$ , while the slope (i.e., increase in mortality risk) was steeper at higher concentrations.

The HRs and 95% CIs of all-cause mortality associated with  $\text{O}_3$  exposure were presented in Table 2. Sequential adjustment for covariates did not appreciably change the significance and magnitude of estimates, suggesting increased mortality risk associated with high levels of  $\text{O}_3$  (i.e., in the highest  $\text{O}_3$  exposure quartile). In

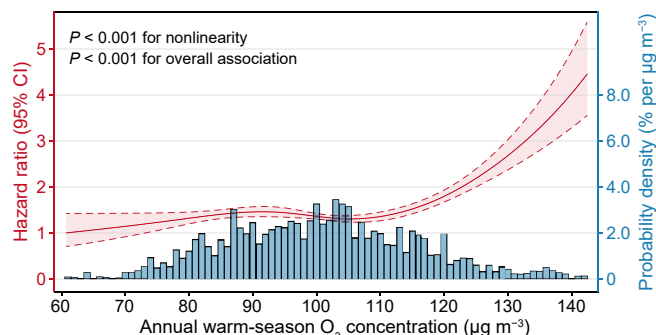
**Table 1**  
Descriptive characteristics of the study population at baseline by ozone exposure quartile.

Characteristics	No. (%)				
	Total (60.7 –142.4)	Warm-season O <sub>3</sub> exposure quartiles (μg m <sup>-3</sup> )			
		Quartile 1 (60.7 –89.7)	Quartile 2 (89.7 –100.5)	Quartile 3 (100.5 –110.5)	Quartile 4 (110.5 –142.4)
<b>Population, No.</b>					
Persons	20882	5268	5257	5215	5142
Deaths	1814	356	479	506	473
<b>Demographic characteristics</b>					
Male sex	9853 (47.2)	2522 (47.9)	2414 (45.9)	2480 (47.6)	2437 (47.4)
Age (yrs), mean (SD)	57.7 (10.4)	55.7 (10.5)	58.0 (10.5)	58.3 (10.2)	58.7 (10.0)
45–50	6658 (31.9)	2242 (42.5)	1598 (30.4)	1459 (28.0)	1359 (26.4)
50–64	9585 (45.9)	2052 (39.0)	2443 (46.5)	2541 (48.7)	2549 (49.6)
65+	4639 (22.2)	974 (18.5)	1216 (23.1)	1215 (23.3)	1234 (24.0)
<b>Education level</b>					
Illiterate	5315 (25.5)	1378 (26.2)	1216 (23.1)	1260 (24.2)	1461 (28.4)
Elementary school and below	8291 (39.7)	2202 (41.8)	1974 (37.5)	2183 (41.9)	1932 (37.6)
Middle school	4594 (22.0)	1088 (20.7)	1237 (23.5)	1097 (21.0)	1172 (22.8)
High school and above	2682 (12.8)	600 (11.4)	830 (15.8)	675 (12.9)	577 (11.2)
Married status					
Married	18553 (88.8)	4736 (89.9)	4597 (87.4)	4645 (89.1)	4575 (89.0)
Never married or Divorced or Widowed	2329 (11.2)	532 (10.1)	660 (12.6)	570 (10.9)	567 (11.0)
Residence					
Urban	8514 (40.8)	2086 (39.6)	2506 (47.7)	2093 (40.1)	1829 (35.6)
Rural	12368 (59.2)	3182 (60.4)	2751 (52.3)	3122 (59.9)	3313 (64.4)
Region					
South	11689 (56.0)	3766 (71.5)	3218 (61.2)	2680 (51.4)	2025 (39.4)
North	9193 (44.0)	1502 (28.5)	2039 (38.8)	2535 (48.6)	3117 (60.6)
<b>Behavioral factors</b>					
Smoking status					
Never	13028 (62.4)	3249 (61.7)	3369 (64.1)	3143 (60.3)	3267 (63.5)
Former	1721 (8.2)	398 (7.6)	411 (7.8)	432 (8.3)	480 (9.3)
Current	6133 (29.4)	1621 (30.8)	1477 (28.1)	1640 (31.4)	1395 (27.1)
Alcohol consumption					
Never	14342 (68.7)	3539 (67.2)	3741 (71.2)	3649 (70.0)	3413 (66.4)
Former	1116 (5.3)	254 (4.8)	232 (4.4)	293 (5.6)	337 (6.6)
Current	5424 (26.0)	1475 (28.0)	1284 (24.4)	1273 (24.4)	1392 (27.1)
Social activity	11605 (55.6)	3151 (59.8)	2798 (53.2)	2892 (55.5)	2764 (53.8)
<b>Health status</b>					
BMI (kg m <sup>-2</sup> ), mean (SD)	23.5 (3.2)	23.3 (3.1)	23.3 (3.2)	23.5 (3.2)	23.7 (3.3)
Underweight or normal	12435 (59.5)	2821 (53.5)	3322 (63.2)	3199 (61.3)	3093 (60.2)
Overweight	6571 (31.5)	2047 (38.9)	1517 (28.9)	1529 (29.3)	1478 (28.7)
Obesity	1876 (9.0)	400 (7.6)	418 (8.0)	487 (9.3)	571 (11.1)
Hypertension	4800 (23.0)	1017 (19.3)	1184 (22.5)	1340 (25.7)	1259 (24.5)

**Table 1 (continued)**

Characteristics	No. (%)				
	Total (60.7 –142.4)	Warm-season O <sub>3</sub> exposure quartiles (μg m <sup>-3</sup> )			
		Quartile 1 (60.7 –89.7)	Quartile 2 (89.7 –100.5)	Quartile 3 (100.5 –110.5)	Quartile 4 (110.5 –142.4)
Diabetes	1204 (5.8)	261 (5.0)	279 (5.3)	316 (6.1)	348 (6.8)
Disability	3485 (16.7)	891 (16.9)	741 (14.1)	838 (16.1)	1015 (19.7)

Abbreviations: O<sub>3</sub>, ozone; SD, standard deviation; BMI, body mass index.



**Fig. 1.** Concentration–response (C–R) curve of the association between ozone exposure and all-cause mortality.

the fully adjusted model, the mortality HRs associated with the second, third, and highest O<sub>3</sub> exposure quartiles were 1.088 (95% CI: 0.947–1.251), 1.018 (95% CI: 0.875–1.185), and 1.559 (95% CI: 1.338–1.817), respectively, compared with the first exposure quartile. As the O<sub>3</sub> exposure was fitted as a linear term in a fully adjusted model, the per 10-μg m<sup>-3</sup> increase in annual warm-season O<sub>3</sub> was associated with an HR of 1.179 (95% CI: 1.132–1.229) for all-cause mortality risk.

The estimates from subgroup analyses of HR and 95% CI stratified by demographic and behavioral characteristics and temperature were summarized in Fig. 2. A highly similar mortality risk related to O<sub>3</sub> exposure was estimated for male (HR = 1.178, 95% CI: 1.115–1.246) and female individuals (HR = 1.183, 95% CI: 1.114–1.257). For a 10-μg m<sup>-3</sup> increase in annual warm-season O<sub>3</sub> exposure, the associations were more evident in the older group (age ≥65 years), with a corresponding HR of 1.182 (95% CI: 1.125–1.243). Significant and analogous associations were observed between subgroups stratified by smoking and alcohol consumption status. To some extent, O<sub>3</sub>–mortality associations revealed urban–rural disparity: associations were more pronounced in rural locations (HR = 1.188, 95% CI: 1.129–1.249) than in urban areas (HR = 1.166, 95% CI: 1.087–1.250). Compared with participants exposed to moderate temperatures (11.7–16.8 °C), we observed a significantly higher risk in the low-temperature (≤11.7 °C) group (P = 0.041). In contrast, participants living in warm cities had an insignificant increase in O<sub>3</sub>-related mortality risk (≥16.8 °C).

Each estimated association from the 1000 simulations was statistically significant (average HR = 1.113, 95% CI: 1.078–1.148), suggesting that our primary analysis may not have been sensitive to exposure misclassification due to the city-level exposure assessment (Fig. 3). In addition, O<sub>3</sub>–mortality associations remained largely robust in the sensitivity analyses when the clustering effect of surveyed cities (HR = 1.247, 95% CI: 1.196–1.300) was considered, participants who died within the first year of the baseline survey

**Table 2**  
Hazard ratios and 95% confidence intervals of all-cause mortality associated with long-term ozone exposure.

Model	HR (95% CI)			
	Per 10- $\mu\text{g m}^{-3}$ increase	Quartile 2* (91.7–100.6 $\mu\text{g m}^{-3}$ )	Quartile 3* (100.6–110.5 $\mu\text{g m}^{-3}$ )	Quartile 4* (110.5–142.4 $\mu\text{g m}^{-3}$ )
Model 1 <sup>a</sup>	1.116 (1.098–1.135)	1.070 (1.000–1.146)	0.977 (0.911–1.047)	1.390 (1.303–1.482)
Model 2 <sup>b</sup>	1.149 (1.108–1.193)	1.067 (0.931–1.223)	0.984 (0.851–1.138)	1.476 (1.285–1.696)
Model 3 <sup>c</sup>	1.148 (1.106–1.191)	1.065 (0.929–1.222)	0.985 (0.852–1.139)	1.470 (1.279–1.689)
Model 4 <sup>d</sup>	1.179 (1.132–1.229)	1.088 (0.947–1.251)	1.018 (0.875–1.185)	1.559 (1.338–1.817)

\* Quartile 1 was used as the reference.

<sup>a</sup> Adjusted for age and sex.

<sup>b</sup> Adjusted for covariates in Model 1 plus demographic characteristics including educational level, married status, residence and region.

<sup>c</sup> Adjusted for covariates in Model 2 plus behavioral factors including alcohol consumption, smoking status and social activity.

<sup>d</sup> Adjusted for covariates in Model 3 plus health status including BMI, hypertension, diabetes, disability and temperature. Model 4 was fully adjusted model.

(HR = 1.182, 95% CI: 1.132–1.234) were excluded, the minimum set of variables based on the directed acyclic graph was adjusted, and bi- and tri-pollutant models additionally adjusted for PM<sub>2.5</sub> or/and O<sub>3</sub> were used (Table S3).

#### 4. Discussion

To the best of our knowledge, this is the first national study to investigate the association between long-term O<sub>3</sub> exposure and all-cause mortality in Chinese men and women. We observed a significantly increased risk of mortality ( $P < 0.001$ ) associated with O<sub>3</sub> exposure at high concentrations among the middle-aged and older population. O<sub>3</sub>–mortality associations were generally robust after adjusting for co-pollutants (PM<sub>2.5</sub> or/and NO<sub>2</sub>), whereas there

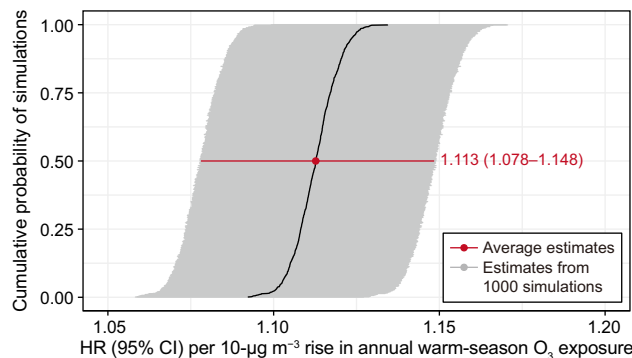
was some evidence for effect modification by temperature. These findings provide crucial longitudinal evidence for O<sub>3</sub>–mortality associations, filling the gap in population-based cohort studies from low- and middle-income countries.

Consistent with findings from prior publications [11,12], we observed a positive association between long-term O<sub>3</sub> exposure and mortality risk, with an HR of 1.197 (95% CI: 1.171–1.223) for every 10- $\mu\text{g m}^{-3}$  increase in annual warm-season O<sub>3</sub> at a wide exposure range of 60.7–142.4  $\mu\text{g m}^{-3}$ . The magnitude of the effect size in this study was between the estimated risk for cardiovascular disease mortality (HR = 1.09, 95% CI: 1.05–1.14) from a national cohort study [36] and that (HR = 1.22, 95% CI: 1.13–1.33) from a regional longitudinal study in China [33], although the magnitude we observed was considerably higher than estimates (HRs range: 1.01–1.05) from recent cohort studies in Europe [37] and North America [12,38]. Such regional heterogeneity could be partly attributed to the use of differing O<sub>3</sub> exposure metrics (e.g., 6mDMA8, 6mDA24, and ADMA8) and differential population susceptibility [10]. In contrast, inverse or non-significant associations were reported by large-scale cohort studies in Europe [18,39,40] and the United States [16,17]. Prior cohort studies have reported the potential existence of a threshold in the C–R curve above which a higher mortality risk is observed [15,16,31]. Hence, a possible explanation for previous studies' lower or inverse estimates is that O<sub>3</sub> concentrations in developed countries are generally relatively low. For instance, the O<sub>3</sub> exposure ranges reported by cohort studies conducted in Canada [38] and the United States [11] were below 120  $\mu\text{g m}^{-3}$ . In addition, if such a non-linear association does exist, the effect estimation assumed to be linearity might be underestimated at the low range of exposure levels.

Only a few studies have assessed the C–R curve between long-term O<sub>3</sub> exposure and mortality [9]. This study explored the C–R relationship for a wide exposure range (60.7–142.4  $\mu\text{g m}^{-3}$ ) and observed a J-shaped O<sub>3</sub>–mortality association among middle-aged and older adults in China (Fig. 2). We observed a consistently increasing trend in the positive association at warm-season O<sub>3</sub> levels above approximately 110  $\mu\text{g m}^{-3}$  (56.1 ppb), suggesting a potential threshold for the impact of long-term O<sub>3</sub> exposure on mortality. A comparable estimate (56 ppb) was reported in a prior cohort study based on the American Cancer Society Cancer Prevention Study II [41]. Two large-scale investigations identified potential thresholds of 40 ppb [11,12] in the older cohort (age  $\geq 65$  years) enrolled from the Centers for Medicare and Medicaid Services. These discrepancies in potential thresholds may be due to differences in demographic characteristics (e.g., age structure and race) and methodological strategies (e.g., sample size, exposure

Group	Participants	Deaths	HR (95% CI)	Effect modification
Total	20882	1814	1.179 (1.155–1.204)	
<b>Sex</b>				
Male	9853	1036	1.178 (1.115–1.246)	[Ref.]
Female	11029	778	1.183 (1.114–1.257)	0.926
<b>Age group (yrs)</b>				
40–65	15779	618	1.175 (1.095–1.261)	[Ref.]
65+	5103	1196	1.182 (1.125–1.243)	0.887
<b>Educational level</b>				
Illiterate	5315	773	1.190 (1.119–1.266)	[Ref.]
Literate	15567	1041	1.176 (1.113–1.242)	0.774
<b>Smoking status</b>				
Yes	7854	871	1.199 (1.128–1.273)	[Ref.]
No	13028	943	1.167 (1.105–1.234)	0.528
<b>Alcohol consumption</b>				
Yes	6540	623	1.195 (1.113–1.282)	[Ref.]
No	14342	1191	1.175 (1.118–1.236)	0.711
<b>Residence</b>				
Urban	8514	655	1.166 (1.087–1.250)	[Ref.]
Rural	12368	1159	1.188 (1.129–1.249)	0.671
<b>Temperature</b>				
Medium (11.7–16.8 °C)	10372	939	1.124 (1.071–1.180)	[Ref.]
Low (<11.7 °C)	5292	490	1.241 (1.144–1.346)	0.041
High ( $\geq 16.8$ °C)	5218	385	1.073 (0.997–1.155)	0.343

**Fig. 2.** Subgroup analysis of HRs (with 95% CIs) of all-cause mortality associated with a 10- $\mu\text{g m}^{-3}$  increase in ozone exposure. HR, hazard ratio; CI, confidence interval.



**Fig. 3.** Probability distribution of HRs (with 95% CIs) of all-cause mortality associated with a 10- $\mu\text{g m}^{-3}$  increase in ozone exposure with 1000 random simulations. HR, hazard ratio; CI, confidence interval.

quantification, and confounding adjustment) [10]. However, given that most studies did not report the threshold when investigating O<sub>3</sub>–mortality associations, current findings on the potential threshold remain inconclusive in the absence of more relevant evidence, particularly in Asian populations.

Our study did not demonstrate effect modification by demographic and behavioral covariates in subgroup analyses. Comparable findings have been reported in prior epidemiological studies investigating the long- and short-term effects of O<sub>3</sub> on death. A prospective cohort study that enrolled more than a half-million Americans  $\geq 50$  years of age suggested insignificant discrepancies in mortality risk among subpopulations stratified by a set of covariates (e.g., age, sex, educational level, and smoking status) [16]. Meanwhile, a time-series study of O<sub>3</sub>–mortality associations using national data from 272 Chinese cities failed to show differential mortality risk in subgroup analyses [7]. However, older adults and less educated populations tended to have higher mortality risks associated with air pollution exposure, which may be partly explained by the vulnerability of the elderly and the disadvantageous living conditions associated with lower socioeconomic status [42,43]. Consequently, more large-scale cohort investigations are warranted to identify differences in O<sub>3</sub>–mortality associations among subpopulations.

We found that temperature might modify the long-term effect of O<sub>3</sub> on mortality. We observed significantly stronger O<sub>3</sub>–mortality associations in participants enrolled from cold locations ( $\leq 11.7$  °C) than in those living in locations with moderate temperatures (11.7–16.8 °C). A meta-analysis of associations between short-term O<sub>3</sub> exposure and mortality further indicated that a higher O<sub>3</sub>–induced risk of acute death was only observed at low temperatures among Chinese participants [44]. Nonetheless, national cohort studies in the United States reported stronger O<sub>3</sub>–mortality associations in areas with low temperatures and the highest temperature category [16,31]. Reducing the use of air conditioning during cool weather and the consequent exposure to more natural ventilation can partially explain the findings [43]. In addition, prior longitudinal evidence revealed that the O<sub>3</sub>–induced risk of mortality varied spatially by climate zone [13], indicating that O<sub>3</sub>–mortality associations may be influenced by other climate factors. Therefore, studies investigating the long-term effect of O<sub>3</sub> on mortality should consider more climate factors and identify the modification effect in the future.

Our study had several strengths. First, our findings provide a national epidemiologic evidence for the association between long-term O<sub>3</sub> exposure and all-cause mortality among middle-aged and older adults in Chinese Mainland. Cohort studies exploring the long-term effects of air pollution exposure on the Chinese population remain rare [33,36]; therefore, our findings appropriately fill the literature gap. Second, our analyses included extensive confounders, including individual lifestyles, and evaluated the C–R relationship in a wide range (60.7–142.4  $\mu\text{g m}^{-3}$ ) of O<sub>3</sub> exposure. Third, given our comprehensive statistical approach and the validated robustness of effect estimates, our findings can strengthen the evidence for the mortality effects of long-term O<sub>3</sub> exposure. Furthermore, our study identified that O<sub>3</sub> is an independent risk factor for premature death in China, suggesting that the improved control of ambient O<sub>3</sub> could help people live longer and healthier lives and reduce the increased financial burden of aging in China [45].

Our study also had some limitations. First, participants' O<sub>3</sub> exposure was assessed at the city level based on the residential address instead of at the individual level, potentially leading to unavoidable biases in exposure assignment. It might have led to an effect overestimation according to the Monte Carlo simulation results, although the misclassification did not change the direction of

the association. Second, given the distinct difference in O<sub>3</sub> concentrations between the household and ambient environments [46], the overestimation of individual O<sub>3</sub> exposure may be caused by unnoticed indoor O<sub>3</sub> exposure. Third, given the lack of clinical diagnoses regarding the cause of death [47], we failed to estimate associations between O<sub>3</sub> and cause-specific mortality and excluded accidental deaths from all-cause cases. However, highly similar C–R associations for non-accidental and all-cause mortality were reported in prior cohort studies of air pollution [48,49]. According to the Chinese Statistical Yearbook (<http://www.stats.gov.cn/tjsj/ndsj/>, accessed on 23 January 2023), the proportion of accidental deaths among the Chinese population between 2011 and 2018 remained low (range: 6.39–7.11%). Therefore, we believe accidental deaths did not considerably influence our results or change the direction of the association. Fourth, unmeasured confounders such as road traffic noise, residential greenness, and other climate factors may have further influenced the estimated association between O<sub>3</sub> exposure and long-term survival, despite our consideration of a rich set of covariates. In addition, because the relationship was observed among middle-aged and older adults, our findings may not be generalizable to the younger population.

## 5. Conclusions

Our study provides longitudinal evidence that long-term exposure to high levels of O<sub>3</sub> is associated with increased mortality risk among middle-aged and older Chinese adults. The results are robust to adjustment for co-pollutants and additional covariates. We observe a potential threshold for the O<sub>3</sub>–mortality associations, indicating that limiting O<sub>3</sub> concentrations below the potential threshold could substantially benefit public health. These findings expand the current literature by providing epidemiological evidence on the Chinese population. They suggest the government should stress the synergistic control of ambient O<sub>3</sub> with other air pollutants to mitigate premature deaths. The reasons for the considerable heterogeneity between the effect estimates of various studies require further extensive longitudinal investigations.

## CRedit authorship contribution statement

**Yang Yuan:** Writing - original draft, Writing - review & editing, Methodology, Formal analysis; **Kai Wang:** Writing - review & editing, Validation, Visualization; **Haitong Zhe Sun:** Writing - review & editing, Validation; **Yu Zhan:** Resources, Data Curation; **Zhiming Yang:** Software, Visualization; **Kejia Hu:** Software, Visualization; **Yunquan Zhang:** Writing - review & editing, Supervision, Funding acquisition. All authors read and approved the final manuscript.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.ese.2023.100241>.

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